

BEFORE THE DIVISION OF INSURANCE
DEPARTMENT OF LABOR AND REGULATION
STATE OF SOUTH DAKOTA

<p>IN THE MATTER OF FRONTIER SOLUTIONS, LLC CRD # 136102</p>	<p>INS 26-30886 ORDER REVOKING REGISTRATION</p>
--	--

TO: Frontier Solutions, LLC, CRD # 136102
9 East Franklin St.
Richmond, VA 23219

Larry Deiter, the Director of the South Dakota Division of Insurance, (“Director”) has determined the following:

1. FRONTIER SOLUTIONS, LLC (“Respondent”) whose last known address is shown above, is an registered broker-dealer in the State of South Dakota;
2. In April 2025, the Division conducted an audit of broker-dealer firms and their registered supervisors in the state;
3. The Respondent failed to respond to the Division Audit and inquires directed to the Respondent;
4. Pursuant to SDCL 47-31B-602, the Director may conduct investigations which the Director considers necessary or appropriate to determine whether a person has violated, is violating, or is about to violate SDCL Chapter 47-31B, or to aid in the enforcement of the chapter;
5. Pursuant to SDCL 47-31B-604, if the director determines that a person has violated Chapter 47-31B, the director may issue an order revoking a broker-dealers registration in this state;
6. That the director finds that the Respondent has violated SDCL 47-31B-602, ARSD 20:08:03:01, and FINRA rule 3310;
7. The above findings provide sufficient grounds for issuance of an order revoking the Broker Dealer Registration of FRONTIER SOLUTIONS, LLC, in accordance with the provisions of SDCL 47-31B-604

8. The following orders are necessary and appropriate in the public interest and for the protection of investors and are consistent with the policies and provisions of the South Dakota Uniform Securities Act, SDCL Chapter 47-31B;
9. That pursuant to SDCL 47-31B-604(d), the Division may impose a civil penalty of up to \$10,000 for each violation of SDCL Chapter 47-31B;

THEREFORE, IT IS HEREBY ORDERED, pursuant to SDCL 47-31B-604, that the South Dakota Broker Dealer Registration of FRONTIER SOLUTIONS, LLC, will hereby be revoked. The Respondent and all officers, directors, brokers, agents, and employees thereof shall cease and desist from acting or offering to act as an investment advisor in the State of South Dakota until further order of the Director. Any willful violation of this Order is a Class 4 felony pursuant to SDCL 47-31B-508.

NOTICE IS HERBY GIVEN pursuant to SDCL 47-31B-604(b), that the Respondent and any officer, director, broker, agent, and employee thereof, may request a hearing regarding this matter.

A hearing will be scheduled within fifteen days after the Division receives a request at the Office of Hearing Examiners in Pierre, South Dakota. At hearing, the above-referenced parties may appear in person or be represented by counsel pursuant to SDCL Chapter 1-26. If a request for a hearing is not received by the Division within thirty days after the above-referenced parties receive this order, then this order will become final.

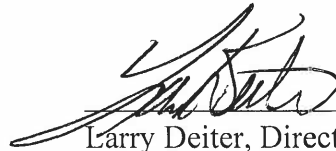
If a hearing is requested or ordered, the Director, after notice of and opportunity for hearing to each person subject to the order, may modify or vacate this Order or extend it until final determination.

If the amount in controversy exceeds \$2,500 or if a property right may be terminated, any party to the contested case may require the Division to use the Office of Hearing Examiners by giving notice to the Division no later than ten days after service of a notice of hearing issued pursuant to SDCL 1-26-17.

If requested, an administrative hearing is an adversary proceeding and any interested party has a right to be present at the hearing and to be represented by an attorney during the hearing. Due process rights will be forfeited if they are not exercised at a hearing. A default order may be issued against any party not appearing at a hearing. Any action taken at the hearing, if requested, may be appealed to the Circuit Court and the Supreme Court pursuant to SDCL Chapter 1-26. Handicapped persons who wish to attend should contact the Division so the hearing can be held in a place readily accessible to them.

A person who is not an original party to a contested case and whose pecuniary interests would be directly affected by the Division's Order, may become a party to a hearing, if requested, by intervention if timely application therefore is made to the Division, pursuant to SDCL 1-26-17.1.

Dated at Pierre, South Dakota this 21st day of April, 2026.



Larry Deiter, Director
South Dakota Division of Insurance

CERTIFICATE OF SERVICE

I, the undersigned attorney, do hereby certify that on the date shown below, a true and correct copy of the Order Revoking Registration with respect to the above-entitled action was sent U.S. Certified Mail and U.S. first class mail thereon, to the following:

Frontier Solutions, LLC, CRD # 136102
9 East Franklin St.
Richmond, VA 23219

Dated this 21st day of April, 2026.

/s/ Clayton Grueb

Clayton Grueb, Legal Counsel
Division of Insurance
South Dakota Dept. of Labor and Regulation
2330 N. Maple Ave, Suite 1
Rapid City, SD 57701
Phone (605) 773-3563

BEFORE THE DIVISION OF INSURANCE
DEPARTMENT OF LABOR AND REGULATION
STATE OF SOUTH DAKOTA

<p>IN THE MATTER OF FRONTIER SOLUTIONS, LLC CRD # 136102</p>	<p>INS 26-30886 NOTICE OF ENTRY OF ORDER REVOKING REGISTRATION</p>
--	---

NOTICE IS HEREBY GIVEN, that attached hereto, is a true and correct copy of the Order Revoking Registration entered by Larry Deiter, Director of the South Dakota Division of Insurance, on the 21ST day April, 2026.

Dated this 21ST day of April, 2026.

/s/ Clayton Grueb
Clayton Grueb, Legal Counsel
Division of Insurance
South Dakota Dept. of Labor and Regulation
2330 N. Maple Ave, Suite 1
Rapid City, SD 57701
Phone (605) 773-3563