

BEFORE THE DIVISION OF INSURANCE  
DEPARTMENT OF LABOR AND REGULATION  
STATE OF SOUTH DAKOTA

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IN THE MATTER OF	)	
OCG MANAGEMENT COMPANY	)	
SOUTH DAKOTA, LLC DBA UNITY RD.	)	CONSENT ORDER
	)	

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In resolution of the above matter and in lieu of issuance of a Notice of Hearing and a formal hearing, the undersigned parties do hereby agree to the following:

OCG MANAGEMENT COMPANY SOUTH DAKOTA, LLC DBA UNITY RD. ("OCG MANAGEMENT") whose last known address of is 2727 N 3rd St Unit 201 Phoenix, AZ 85008 720-923-5262, is a corporation based in the state of Arizona;

OCG MANAGEMENT is aware that the South Dakota Division of Insurance ("Division") has requested information into OCG MANAGEMENT's securities and franchise related activities in South Dakota;

The Division has alleged the following:

- 1) OCG MANAGEMENT filed their initial franchise and notice filings with the Division on July 13, 2022;
- 2) OCG MANAGEMENT failed to timely respond to inquiries from the Division, in violation of SDCL § 37-5B-36;
- 3) The above-cited conduct may be grounds for the denial, suspension, and revocation of OCG MANAGEMENT's registration and the issuance of a monetary penalty of up to \$5,000 per violation, pursuant to SDCL §§ 37-5B-41 through 37-5B-43;

OCG MANAGEMENT is aware of and understand the nature of the Division's review and have been informed that they have the right to notice, hearing, and appeal, and that by agreeing to and signing this Consent Order, agree to the waiver of these rights;

In return for OCG MANAGEMENT agreeing to the provisions of this Consent Order, the Division agrees not to file any action, proceed to a formal hearing or enter any injunction or restrictive order and agrees that this Consent Order will constitute an informal disposition of this matter pursuant to SDCL § 1-26-20;

OCG MANAGEMENT agrees to a monetary penalty in the amount of \$2,500 pursuant to SDCL § 37-5B-43, in lieu of contesting this matter formally; and

OCG MANAGEMENT further agree to conduct themselves in accordance with the laws and regulations of the State of South Dakota; and

OCG MANAGEMENT further agree that this Consent Order may be considered for the purpose of determining an appropriate sanction in any future actions with the Division for any violations of the laws or regulations of the State of South Dakota or for failing to abide by any order of the Director;

Wherefore, good cause appearing from the foregoing, it is hereby ORDERED that OCG MANAGEMENT will pay a monetary penalty in the amount of \$2,500 payable to "South Dakota Division of Insurance" for deposit in the general fund of the State of South Dakota; and it is further

ORDERED that OCG MANAGEMENT will abide by the agreements made by them in this Consent Order; and it is further

ORDERED that the provisions of this Consent Order shall be effective from the date the Director signs this Order.

Dated at Pierre, South Dakota this 22<sup>nd</sup> day of November, 2022



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Larry Deiter, Director  
South Dakota Division of Insurance

The undersigned, on behalf of OCG MANAGEMENT, represents that they understand the terms of this Consent Order and the waiver of their due process rights and voluntarily enter into this Consent Order.

Dated this \_\_\_\_\_ day of Nov 9, 2022, 20\_\_\_\_.



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Mike Weinbergr (Nov 9, 2022 16:28 MST)  
Signature of Authorized Representative

Mike Weinberger

Printed Name

Chief Franchise Officer

Title