

BEFORE THE DIVISION OF INSURANCE
DEPARTMENT OF LABOR AND REGULATION
STATE OF SOUTH DAKOTA

IN THE MATTER OF)	CONSENT ORDER
ANDREW DOUGHERTY)	

In resolution of the above titled matter and in lieu of an application denial, Notice of Hearing, and a formal hearing, the undersigned parties do hereby agree to the following:

ANDREW DOUGHERTY, whose address of record is 1245 Jordan Creek Pkwy STE 200, West Des Moines, Iowa 50266-2311, holds a nonresident insurance producer license in the State of South Dakota;

ANDREW DOUGHERTY is aware that the South Dakota Division of Insurance has conducted an investigation;

The Division alleges the following with regard to ANDREW DOUGHERTY:

- 1) ANDREW DOUGHERTY was subject to an administrative action in Nebraska on August 10, 2020 that resulted in the revocation of his Nebraska insurance license;
- 2) ANDREW DOUGHERTY did not report this Administrative Action to the South Dakota Division of Insurance (“The Division”).
- 3) The Division wrote ANDREW DOUGHERTY about the Action and the failure to report on September 16, 2020 at the email and mailing addresses he provided but received no response. The Division again wrote ANDREW DOUGHERTY on October 20, 2020 but again received no response.
- 4) The Division issued ANDREW DOUGHERTY a Notice of Hearing on January 4, 2021.
- 5) ANDREW DOUGHERTY’S conduct described herein amounts to violations of SDCL 58-30-157, SDCL 58-30-167(2), (8), and (9), SDCL 58-30-193, and SDCL 58-33-66(1).

ANDREW DOUGHERTY is aware of and understands the nature of these allegations and has been informed that he has the right to a Notice of Hearing, counsel and appeal, and that by agreeing to sign this Consent Order, waives these rights;

In return for ANDREW DOUGHERTY agreeing to and complying with the provisions of this Consent Order, the Division agrees not to proceed to administrative hearing on February 3, 2021, and agrees that this Consent Order will constitute a final disposition of this matter pursuant to SDCL 1-26-20.

ANDREW DOUGHERTY agrees, in lieu of contesting this matter formally, to the revocation of his nonresident insurance producer's license and to cease the transaction of insurance business in this state;

ANDREW DOUGHERTY further agrees that this Consent Order may be considered in any future licensing procedures with the South Dakota Division of Insurance and for the purpose of determining the appropriate sanction in any future actions with the Division for any violations of the laws or regulations of the State of South Dakota or for failing to abide by any order of the Director;


By the execution of this Consent Order, ANDREW DOUGHERTY waives his right to contest the allegations contained herein at any future licensing proceedings;

Wherefore, good cause appearing from the foregoing, it is hereby ORDERED that the nonresident producer's license of ANDREW DOUGHERTY is hereby revoked, and that ANDREW DOUGHERTY cease the transaction of insurance business in South Dakota; and it is further

ORDERED that the use of this Consent Order for competitive purposes by an insurance agent or third-party administrator holding a license in the State of South Dakota, or by any company holding a Certificate of Authority, or by anyone on their behalf, may be deemed unfair competition and be grounds for suspension or revocation of said license or authority; and it is further

ORDERED that the provisions of this Consent Order shall be effective from the date the Director signs this Order.

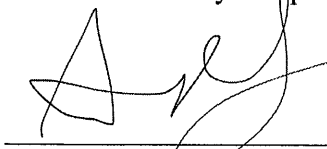
Dated at Pierre, South Dakota this 3rd day of February, 2021.



Larry Deiter, Director
South Dakota Division of Insurance

I have read and understand the terms of this Consent Order and the waiver of my due process rights and voluntarily enter into this Consent Order.

Dated this 2nd day of February, 2021.



Andrew Dougherty