



DIVISION OF INSURANCE

Tel: 605.773.3563 | Fax: 605.773.5369

dlr.sd.gov/insurance

September 9, 2019

Lee Oats
4903 Sonata Dr.
Colorado Springs, CO 80918

SENT VIA CERTIFIED MAIL

7019 0700 0000 9717 6411

RE: Application for Insurance Producer License

Dear Mr. Oats,

This letter is to notify you that your application for licensure as a nonresident insurance producer in South Dakota has been denied. The reason for the denial is as follows:

You submitted an application for an individual nonresident insurance producer license to the South Dakota Division of Insurance ("Division") on July 1, 2019. On your answers to the application questions, you answered "Yes" to the questions concerning misdemeanor and felony convictions. You provided documentation with your application of your misdemeanor and felony convictions.

Based on the above information, your application is denied based upon SDCL §§ 58-30-167(2), (6), and (8) for violating the insurance laws or rules of the State of South Dakota; for having been convicted of a felony; and for using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in this state or elsewhere.

Please note that this denial is considered an administrative action which will be reported to the database maintained by the National Association of Insurance Commissioners. If an administrative action occurs, an insurance producer may be required to report the action to any and all states in which an insurance license is held and in accordance with the timeframes and requirements of each state.

Pursuant to SDCL § 58-30-168, you may make a written request to the Division of Insurance within thirty (30) days of the date of this denial for a hearing to determine the reasonableness of this decision to deny your application for licensure.

Sincerely,

A handwritten signature in cursive script that reads 'Dan Nelson'.

Dan Nelson, *Assistant Director*
South Dakota Division of Insurance
South Dakota Department of Labor and Regulation

Cc: Lee Oats
9945 Federal Dr.
Colorado Springs, CO 80921