

STATE OF SOUTH DAKOTA
DEPARTMENT OF LABOR AND REGULATION
DIVISION OF SECURITIES

IN THE MATTER OF:

MATTHEW SILIATO, CRD# 5062153

)
) CONSENT AGREEMENT FOR
) RESTRICTED REGISTRATION
)

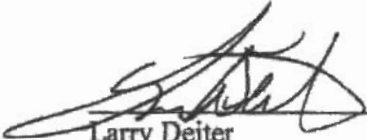
TO: Anthony M. Monaco
CCO
Spartan Capital Securities, LLC
45 Broadway, 9th Floor
New York, NY 10006

The Director of the Division of Securities, Mr. Larry Deiter, has agreed to register Mr. Siliato as an agent in South Dakota pursuant to the following conditions:

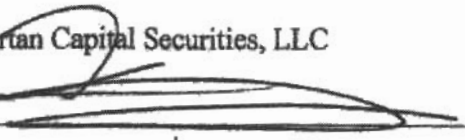
1. That Mr. Siliato shall be supervised on a heightened basis. Please provide the Division with the name and title of the individual who will be supervising Mr. Siliato;
2. That for the duration of this Agreement, Mr. Siliato shall have no supervisory duties or responsibilities;
3. That for the duration of this Agreement, Mr. Siliato shall not be permitted to train other agents, nor partake in any training seminars in any capacity other than as a participant;
4. If Mr. Siliato becomes the subject of a written or oral customer complaint regarding allegations arising from his conduct of securities business Spartan Capital Securities, LLC shall notify the Director, in writing, within ten (10) business days from Spartan Capital Securities, LLC's receipt of such complaint;
5. If Mr. Siliato becomes the subject of any regulatory investigation, internal investigation, arbitration proceeding, or securities-related litigation concerning allegations arising from his conduct of securities business Spartan Capital Securities, LLC shall notify the Director, in writing, within ten (10) business days of the investigation, proceeding or litigation;

6. If Mr. Siliato is terminated from his employment with Spartan Capital Securities, LLC, the firm shall notify the Division in writing within five (5) business days;
7. That at the end of one year, Mr. Siliato may submit an affidavit to the Division stating that he has complied with all aspects of this Consent Agreement and requesting that the restrictions be removed or modified. At that time, the Division will determine whether to remove Mr. Siliato's restricted registration. Determination as to whether the agreement will be lifted shall be solely at the discretion of the South Dakota Securities Division.
8. That all documents, responses and notifications should be sent to Erin McIntyre Menkhaus, Senior Enforcement Attorney, 2330 N. Maple Ave., Suite 1, Rapid City, SD 57701.

Dated this 12th day of April, 2017.


Larry Deiter
Director
Division of Securities
124 S. Euclid Ave., Suite 104
Pierre, SD 57501

Dated this 11 day of April, 2017.

Spartan Capital Securities, LLC
By: 
Title: SVP/CCO

Dated this 11 day of April, 2017.


Matthew Siliato