

DIVISION OF INSURANCE

Tel: 605.773.3563 | Fax: 605.773.5369

dlr.sd.gov/insurance

August 16, 2022

SENT VIA CERTIFIED MAIL & FIRST-CLASS MAIL

Patricia Solis 5508 Mesto Way Bakersfield, CA 93313-9594

Re: Application for South Dakota Non-Resident Insurance Producer License

Dear Ms. Solis,

This letter is to notify you that your application for licensure as a non-resident insurance producer in South Dakota has been denied. The reason for the denial is as follows:

You submitted an application for an individual non-resident insurance producer license to the South Dakota Division of Insurance ("Division") on July 8, 2021. You answered "yes" to the following questions of the licensing application:

- Question 2 asking if you had ever been named or involved as a party in an administrative proceeding;
- Question 3 asking if you had judgment/bankruptcy proceedings;
- Question 4 asking if you had delinquent tax obligation; and
- Question 5 asking if you had lawsuits, arbitrations, or mediation proceedings involving allegations of fraud, misappropriation or conversion of funds, misrepresentation or breach of fiduciary duty.

You failed to provide documentation with your application.

The Division wrote to you via email on July 9, 2021, requesting the required documentation. The Division received no response. The Division wrote a letter on September 13, 2021, requesting documentation regarding the answers to the application questions. The Division received no response and wrote a citation letter on June 7, 2022, which was sent via email, first-class mail, and first-class certified mail. No response was received. A call was placed to your home phone of record on June 30, 2022, in an attempt to contact you. The Division was unable to speak with you at that time. To date, the Division has not received a response from you.

Therefore, your application is denied based upon SDCL 58-30-167(1) and (2) for providing incomplete information in the license application and violating any insurance laws, rules, or order of the director.

Please note that this denial is considered an administrative action which will be reported to the data base maintained by the National Association of Insurance Commissioners. If an



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administrative action occurs, an insurance producer may be required to report the action to any and all states in which an insurance license is held and in accordance with the timeframes and requirements of each state.

Pursuant to SDCL 58-30-168, you may make a written request to the Division of Insurance within 30 days of the date of this denial for a hearing to determine the reasonableness of this decision to deny your application for licensure.

Sincerely,

Tony Dorschner, Assistant Director Producer Licensing

South Dakota Division of Insurance

Department of Labor and Regulation

CC: Patricia Solis

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