



**SOUTH DAKOTA  
DEPT. OF LABOR  
& REGULATION**

June 9, 2020

Douglas Jose Rivas  
142 Wisteria Circle  
Vacaville CA 95687

**DIVISION OF INSURANCE**

Tel: 605.773.3563 | Fax: 605.773.5369  
dlr.sd.gov/insurance

**SENT VIA CERTIFIED AND FIRST-CLASS MAIL**

7019 0700 0001 4782 9892

RE: Application for Insurance Producer License/Denial

This letter is to notify you that your application for licensure as a nonresident insurance producer in South Dakota has been denied. The reason for the denial is as follows:

You submitted an application for of an individual nonresident insurance producer license to the South Dakota Division of Insurance ("Division") January 29, 2020. On your application you answered "YES" to being named in an administrative action and "YES" to having a misdemeanor conviction. However, you failed to include the required documentation. Due to this discrepancy, the Division wrote to you February 20, 2020 for a written explanation. You failed to respond, so on March 23, 2020 the Division sent you a cite letter via certified mail, again asking for an explanation and informing you that it was a violation of the South Dakota Insurance Code to not respond to the Division within 20 days. Having again failed to receive a response, on April 23, 2020 the Division called you, and left you a voicemail which advised you that a response was a response was due no later than April 28, 2020. To date the Division still has not received a response or an explanation regarding your lack of communication or the discrepancies and lack of documentation with your application.

Based on the above information, your application is denied because you have been determined not be in good standing under ARSD 20:06:01:03 and based upon SDCL §§ 58-30-167(1) and (8); for proving incomplete, misleading, or incorrect information in your application; and for demonstrating incompetence, untrustworthiness, or financial irresponsibility.

Please note that this denial is considered an administrative action which will be reported to the database maintained by the National Association of Insurance Commissioners. If an administrative action occurs, an insurance producer may be required to report the action to any and all states in which an insurance license is held and in accordance with the timeframes and requirements of each state.

Pursuant to SDCL § 58-30-168, you may make a written (30) days of the date of this denial for a hearing to determine application for licensure.

Sincerely,

*Maggie Dell*

Maggie Dell  
Assistant Director  
South Dakota Division of Insurance  
Department of Labor and Regulation

CC: [dougrivas1@yahoo.com](mailto:dougrivas1@yahoo.com) & [drivas@alphaallianceinsur.com](mailto:drivas@alphaallianceinsur.com)

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PS Form 3800, April 2015 PSN 7530-02-000-9047 See Reverse for Instructions	

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