



November 12, 2019

Javier Vasquez  
120 W. 12<sup>th</sup> St., STE 1700  
Kansas City, MO 64105

CERTIFIED MAIL & FIRST-CLASS MAIL

7019 0700 0000 9717 7289

RE: Application for Insurance Producer License

Dear Mr. Vasquez,

This letter is to notify you that your application for licensure as a nonresident insurance producer in South Dakota has been denied. The reason for the denial is as follows:

You submitted an application for an individual nonresident insurance producer license to the South Dakota Division of Insurance ("Division") on September 18, 2019. On your answers to the application questions, you answered "Yes" to the application questions concerning misdemeanor and felony convictions. You provided documentation with your application of your misdemeanor convictions and felony charge. You also provided documentation that demonstrates financial irresponsibility.

The Division wrote to you on September 20, 2019 to request a written explanation regarding why you should be licensed in South Dakota, an explanation regarding the circumstances of your criminal history, and a copy of a charging document. You responded on September 27, 2019, but you did not provide a complete response. To this day, the Division has not received a complete response from you.

Based on the above information, your application is denied based upon SDCL §§ 58-30-167(1), (2), and (8) for providing incorrect, misleading, incomplete, or materially untrue information in a license application; violating the insurance laws or rules of another state; and using fraudulent, coercive, or dishonest practices, or demonstrating incompetence, untrustworthiness, or financial irresponsibility in the conduct of business in this state or elsewhere.

Please note that this denial is considered an administrative action which will be reported to the database maintained by the National Association of Insurance Commissioners. If an administrative action occurs, an insurance producer may be required to report the action to any and all states in which an insurance license is held and in accordance with the timeframes and requirements of each state.

Pursuant to SDCL § 58-30-168, you may make a written request to the Division of Insurance within thirty days of the date of this denial for a hearing to determine the reasonableness of this decision to deny your application for licensure.



SOUTH DAKOTA  
DEPT. OF **LABOR**  
& **REGULATION**

**DIVISION OF INSURANCE**

Tel: 605.773.3563 | Fax: 605.773.5369

[dlr.sd.gov/insurance](http://dlr.sd.gov/insurance)

Sincerely,

Dan Nelson, *Assistant Director*  
South Dakota Division of Insurance  
South Dakota Department of Labor and Regulation

Cc: Javier Vasquez  
1224 E. Lemon St., Apt 112  
Tempe, AZ 85281

Javier Vasquez  
4141 N. Scottsdale Rd., STE 301  
Scottsdale, AZ 85251

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PS Form 3800, April 2015 PSN 7530-02-000-9047 See Reverse for Instructions	