

BEFORE THE DIVISION OF INSURANCE  
DEPARTMENT OF LABOR AND REGULATION  
STATE OF SOUTH DAKOTA

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IN THE MATTER OF  
DAVID ROASA

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)

CONSENT ORDER

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In resolution of the above matter and in lieu of a formal hearing, the undersigned parties do hereby agree to the following:

DAVID ROASA, whose address of record is 1942 W. Busoni Pl., Phoenix, AZ 85023-7282, holds a non-resident insurance producer license in the State of South Dakota;

DAVID ROASA is aware that the South Dakota Division of Insurance ("Division") has conducted an investigation;

The South Dakota Division of Insurance alleges the following with regard to DAVID ROASA:

- 1) On September 9, 2013 the State of Wisconsin denied the license application ("Wisconsin Action") of DAVID ROASA, which constituted an administrative action;
- 2) DAVID ROASA did not report the Wisconsin Action to the Division in violation of SDCL § 58-30-193;
- 3) DAVID ROASA did not respond to Division communications dated December 17, 2013 and January 20, 2014 in violation of SDCL §§ 58-33-66 and 58-33-68;
- 4) Any of the above-cited conduct may be grounds for the revocation or suspension of DAVID ROASA's non-resident producer license pursuant to SDCL §§ 58-30-167(2) and (9), 58-33-66, and 58-33-68.

DAVID ROASA is aware of and understands the nature of the allegations and has been informed that he has the right to a Notice of Hearing, counsel and appeal and that by agreeing to sign this Consent Order, waives these rights;

In return for DAVID ROASA agreeing to and complying with the provisions of this Consent Order, the Division agrees not to proceed to administrative hearing and agrees that this Consent Order will constitute an informal disposition of this licensing matter pursuant to SDCL § 1-26-20;

DAVID ROASA agrees to pay \$500 pursuant to SDCL §§ 58-30-167 and 58-4-28.1, in lieu of an administrative hearing and Final Decision;

DAVID ROASA further agrees to conduct himself in accordance with the insurance laws and regulations of the State of South Dakota;

DAVID ROASA further agrees that this Consent Order may be considered for the purpose of determining the appropriate sanction in any future actions with the Division for any violations of the laws or regulations of the State of South Dakota or for failing to abide by any order of the Director;

DAVID ROASA waives his right to contest the allegations contained in this Consent Order in any future actions or licensing procedures;


Wherefore, good cause appearing from the foregoing, it is hereby ORDERED that DAVID ROASA pay a monetary penalty in the amount of \$500 payable to "South Dakota Division of Insurance" for deposit in the general fund of the State of South Dakota; and it is further

ORDERED that DAVID ROASA abide by the agreements made by him in this Consent Order; and it is further

ORDERED that the use of this Consent Order for competitive purposes by an insurance agent or third-party administrator holding a license in the State of South Dakota, or by any company holding a Certificate of Authority, or by anyone on their behalf, may be deemed unfair competition and be grounds for suspension or revocation of said license or authority; and it is further

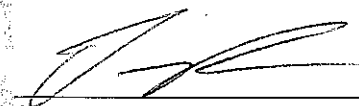
ORDERED that the provisions of this Consent Order shall be effective from the date the Director signs this Order.

Dated at Pierre, South Dakota this 15 day of April, 2015.

  
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Larry Deiter, Director  
South Dakota Division of Insurance

I have read and understand the terms of this Consent Order and the waiver of my due process rights and voluntarily enter into this Consent Order.

Dated this 26 day of March, 2015.

  
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Signature

David Roasa  
\_\_\_\_\_  
Name (typed or printed)